



Record of Invention and Invention Disclosure

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Stradling Yocca Carlson & Rauth



Date: _____
Reference No.: _____
CONFIDENTIAL

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RECORD OF INVENTION AND INVENTION DISCLOSURE

We are required to disclose to the U.S. Patent and Trademark Office all information which is material to the examination of a patent application. In addition, under U.S. patent law, an application must be filed within one year of the earliest event which tends to publicize or commercialize the invention which is the subject of the application. Moreover, in order to preserve the right to obtain foreign patents, a U.S. patent application must be filed before such event occurs.

Therefore, please carefully complete the following questionnaire to provide us with the information necessary to comply with these disclosure requirements. Also, please attach a complete description of the invention in the manner described below so that a patent search may be conducted and a patent application prepared and timely filed. It should be noted that errors and omissions in connection with this information can result in the invalidity or unenforceability of any resultant patent.

1. Title of Invention: _____

2. Inventor(s):

<u>Full Name*</u> <u>(including middle initial)</u>	<u>Citizenship</u>	<u>City, State & Country</u> <u>of Residence</u>	<u>Postal Address</u> <u>(where mail is received)</u>
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* Include all persons associated with the making of the invention. Please note that only true inventors, as determined under the technical requirements of the patent law, can be named in a patent application, and that no such inventor should be omitted.

3. Conception of the Invention:

<u>Date(s)</u>	<u>Place(s)</u>	<u>Inventive Contributions*</u>
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* Briefly describe the contributions, suggestions, experiments, etc. of each inventor listed above, as well as any other pertinent circumstances surrounding the conception of the invention.

7. What is the closest “prior art”; that is, what devices, technologies, structures, processes, information or materials which existed publicly or privately before the invention was made are considered to be most closely related to the invention? Each person identified in paragraph 2 should answer this question.

<u>Date</u>	<u>Place</u>	<u>Person(s) Involved</u>	<u>Circumstances*</u>
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- * Identify and, if possible, attach copies of prior publications, patents, etc. which are most closely related to the invention.

8. Other than the initial disclosure described in paragraph 5, has the invention ever been disclosed orally or in writing to any person other than fellow employees? If so, provide the following information:

<u>Date</u>	<u>Place</u>	<u>Person(s) Receiving Disclosure</u>	<u>Circumstances*</u>
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- * Include any instances of private or public offers to sell the invention, as well as requests for financing, grant applications, demonstrations, theses, abstracts, oral presentations, trade shows, catalogs, etc. Identify all relevant publications, correspondence, etc. and, if possible, attach copies.

9. Has the invention ever been used commercially for any purpose? If so, provide the following information:

<u>Date</u>	<u>Place</u>	<u>Person(s) Involved</u>	<u>Circumstances*</u>
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- * Include any commercial uses, even if details of the invention were kept secret and not disclosed to the public.

10. Are any disclosures of the invention planned for the near future?

Planned Disclosure*

Approximate Date

Circumstances

* Describe any imminent publication, oral presentation, trade show, offer of sale, or sale of the invention.

11. Identify any entities who sponsored or paid, in whole or in part, for the work that led to the conception or reduction to practice of the invention.

Entity

Nature of Sponsorship

Circumstances*

* Describe the contribution of any funds, grants, salaries, materials, equipment or facilities provided by any governmental agency, academic or research institution, industrial sponsor, etc.

12. Invention Disclosure:

Describe the invention in the spaces provided below. If necessary to fully describe the invention, attach reports, drawings, written descriptions, shop and lab notebook records, etc. Please note that the patent application based on this invention must be sufficiently detailed so as to “enable” a person of ordinary skill in this technology to make and use the invention, and that it must disclose the “best mode” for carrying out the invention.

(1) BACKGROUND. Describe the field to which the invention relates and explain what is wrong with the prior art. Provide sufficient background information to enable the reader to clearly appreciate the problems that existed prior to the invention.

- (2) DESCRIPTION. Write a detailed description of the invention, referencing sketches, drawings or photographs. Describe the best way to carry out the invention.
- (3) ADVANTAGES. List and explain the advantages of the invention in the order of their importance, and describe how the invention solves or overcomes the problems of the prior art. Include all possible uses and modifications for the invention. Speculate reasonably on any additional uses that the invention may have, either by itself or in combination with other known or as yet unknown technologies.

13. I (we) verify that the foregoing is true and correct.

Inventor(s):

<u>Date</u>	<u>Signature*</u>	<u>Printed Name</u>	<u>Business Phone Number</u>
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Witnessed and Understood (two or more witnesses should sign):

<u>Date</u>	<u>Signature*</u>	<u>Printed Name</u>	<u>Business Phone Number</u>
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* All inventors and witnesses should initial and date each page of the attachments.

For more information, please contact:

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