



JULIE A. FLAIZ-WINDHAM

Cell 714-394-6899

julieflaiz@gmail.com

Function and Specialization:

Julie specializes in providing auditing and financial statement preparation services as well as information technology system controls, and process analysis services.

Representative Clients

- California State University System
- Carl Karcher Enterprises (CKE)
- Citizens Business Bank
- Clariant, Inc./Safeguard Scientifics subsidiary
- Emulex Corporation
- Litronic Industries
- Mercury Insurance
- Qlogic Corporation
- Skilled Healthcare
- Western Digital Corporation

Professional Associations

- Information Systems Audit and Control Association (ISACA)
- California Society of Public Accountants (CALCPA)
- American Institute of Certified Public Accountants

Education, Licenses & Certifications

- MBA, University of Southern California
- BA, Northwestern University
- Certified Public Accountant (California)
- Certified Information System Auditor (CISA)

Background

Julie has over 18 years of experience working in a lead finance role and serving clients in a wide range of accounting, auditing and management advisory service projects.

Beckman Coulter, Inc., Brea, CA - Professional and Industry Experience

Director of External Reporting responsible for global accounting organization for approximately \$4 billion Diagnostics and Life Sciences business with operations in 160 countries. Responsibilities included external SEC financial reporting and 10K/Q preparation including consolidation of over 80 subsidiaries. Handled technical research, oversight of accounting processes and compliance with SOX and coordination with external auditors. Part of international accounting team responsible for acquisition accounting, transition and integration following Danaher Corporation 2011 acquisition of Beckman Coulter. Instrumental in achieving acceleration in financial close process from 9 days to 4days.

JFW Associates, Inc., Irvine, CA - Professional and Industry Experience

As founder and Principal of JFW Associates, Inc. in 2009, Julie has led several projects: Fraud investigation project for an SEC client which involved reviewing emails, general ledger system and accounts receivable schedules in coordination with internal audit and external auditors.; Sarbanes-Oxley controls design and testing of four financially significant information systems: Great Plains, AHT, RNet and Allscripts. Involved review of security design, process to grant access, substantial redesign of security groups and restriction of access to least possible requirements; Project management coordinator for implementation of ADP self service package for large public client.

KPMG LLP, Costa Mesa, CA - Professional and Industry Experience

Julie has substantial experience leading and coordinating financial and information systems auditing. Financial audit work included inspection of management documentation, review of key controls, research of technical topics, assistance with transactions, review of press releases, 10K, 10Q, 8K, Annual Reports and other SEC filings. Her client concentration was in the high tech industry with a specific emphasis on software. Her background in the audit practice included work on revenue recognition transactions, stock compensation, consolidations, business combinations and initial and secondary public offerings. Within the Advisory practice, Information System auditing included engagements to review controls and perform business process analysis as well as engagements to review and report on the processing of transactions by service organizations (SAS 70). As a KPMG Advisory professional her primary focus was on evaluating the design and operating effectiveness of internal controls developed to help ensure the accurate reporting of financial information

Financial Audit

Served as engagement Senior Manager responsible for project planning, technical analysis, budgeting, execution, and delivery for engagements to render an audit opinion for large international software companies, national healthcare technology/services companies and national retail manufacturers.

JULIE A. FLAIZ-WINDHAM

Provided accounting and audit assistance in a number of areas, including technical topic research for revenue recognition, compensations issues, leasing and various other audit and SEC related topics. Reviewed client consolidation and other financial schedules for inclusion in MD&A, footnotes and public filing documents. Included review of 10K, 10Q, 8K, annual report, press release and other SEC documentation. Involved interaction with C level executives and Board members.

Provided technical topic research and assistance for transactions, including business combinations, accounts receivable securitization and initial and secondary public offerings.

Role included inspection of management documentation, identification of key controls and testing procedures, generation of audit programs, management of budget, coordination of scheduling and management of engagement delivery team.

SAS 70

Served as project Senior Manager responsible for project planning, budgeting, execution, and deliver of engagement to report on the processing of transactions by service organization (SAS 70) for regional data center. Included inspection of management documentation, identification of key controls and testing procedures, generation of audit programs, management of budget, coordination of scheduling, management of engagement delivery team and generation of SAS 70 Type II report and related audit opinion.

External and Internal IT Audit Support

Served as project senior manager responsible for project planning, budgeting, execution, and delivery of Sarbanes-Oxley 404 testing for 1 international software company, 2 large regional financial institutions, 1 national restaurant chain and several smaller clients. Included inspection of management documentation, identification of key controls and testing procedures, generation of audit programs, management of budget, coordination of scheduling and management of engagement delivery team.

Provided subject matter knowledge and guidance for IT controls remediation project for one of the largest University systems in the country. Involved script development and analysis to identify segregation of duties conflicts with the PeopleSoft Finance and HCM modules. Analyzed application and related Oracle database to assess risks related to security, access management, change management, fraud detection, and segregation of duties.

Assessed clients' IT general controls for program development, user access, computer operations and change control. Provided client management with the results of the assessment including identified risks and recommendations.

Instructor

Member of KPMG global instructor team. Responsible for course content development, instruction and presentation.

Publications and Speaking Engagements

JULIE A. FLAIZ-WINDHAM

Information Systems Audit and Control Association, Panel Member – Career Night –
March 2009

Information Systems Audit and Control Association, Panel Moderator – October 2007

American Society of Women Accountants – Sarbanes Oxley overview and IT
considerations – October 2006

Other Activities

Chairperson, Southern California Half Marathon – Raising over \$100,000 in funds from
2009 - 2013 for high school athletics in the Irvine Unified School District

Board member, MLB Reviving Baseball in the Inner City – Angels Chapter

Past President, Women's Society of CPA's – Orange County Chapter

Lead Campus Recruiter – KPMG Orange County Office 2005-2009

2008 KPMG Chairman's Award Winner